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ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) is the

- ☐ patent application of  
☒ continuation patent application SN 08/660,025  
☐ divisional patent application of  
☐ continuation-in-part patent application of

Inventor(s)/Applicant Identifier: Jeffery J. Wheeler, *et al.*

For: LIPID-NUCLEIC ACID PARTICLES PREPARED VIA A HYDROPHOBIC LIPID-NUCLEIC ACID COMPLEX  
INTERMEDIATE AND USE FOR GENE TRANSFER

- ☒ This application claims priority from each of the following Application Nos./filing dates:  
08/660,025, filed 6/6/96; 08/484,282, filed 6/7/95; 08/485,458, filed 6/7/95 (now USP 5,705,385)  
the disclosure(s) of which is (are) incorporated by reference.

- ☒ Please amend this application by adding the following before the first sentence: "This application is a ☒ continuation of  
and claims the benefit of U.S. Application No. 08/660,025, filed June 6, 1996, the disclosure of which is incorporated by  
reference."

Enclosed are copies of:

- ☒ 77 page(s) of specification  
☒ 6 page(s) of claims  
☒ 1 page of Abstract  
☒ 46 sheet(s) of ☐ formal ☒ informal drawing(s).  
An Assignment to Inex Pharmaceuticals Corporation was filed in the prior application.  
☒ A ☒ signed ☐ unsigned Declaration & Power of Attorney  
☐ A ☐ signed ☐ unsigned Declaration.  
☐ A Power of Attorney by Assignee with Certificate Under 37 CFR Section 3.73(b).  
☒ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 ☐ is enclosed ☒ was filed in the prior  
application and small entity status is still proper and desired.  
☐ A certified copy of a \_\_\_\_\_ application.  
☒ Information Disclosure Statement under 37 CFR 1.97.

|   | (Col. 1)  | (Col. 2)  |  |
|---|-----------|-----------|--|
| FOR:  | NO. FILED | NO. EXTRA |  |
| BASIC FEE   |           |           |  |
| TOTAL CLAIMS  | 41 - 20   | = *21     |  |
| INDEP. CLAIMS   | 4 - 3     | = *1      |  |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED |           |           |  |

\* If the difference in Col. 1 is less than 0, enter "0" in Col. 2.

SMALL ENTITY

| RATE          | FEE      |
|---------------|----------|
|               | \$380.00 |
| 21 x \$9.00 = | \$189.00 |
| 1 x \$39.00 = | \$39.00  |
| + \$130.00 =  |          |
| TOTAL         | \$608.00 |

OTHER THAN  
SMALL ENTITY

| RATE         | FEE      |
|--------------|----------|
|              | \$760.00 |
| x \$18.00 =  |          |
| x \$78.00 =  |          |
| + \$260.00 = |          |
| TOTAL        |          |

Please charge Deposit Account No. 20-1430 as follows:

- ☒ Filing fee \$608  
☒ Any additional fees associated with this paper or during the pendency of this application.  
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b)

- ☐ A check for \$\_\_\_\_\_ is enclosed.  
2 extra copies of this sheet are enclosed.

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Attorney Docket No. 16303-002430

"Express Mail" Label No. EL378169926US

Date of Deposit: November 1, 1999

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to

Assistant Commissioner for Patents  
Washington, D.C. 20231

By: *Eugenia Garrett-Wickowski*

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